

Q1 2021 CARIN Community Meeting

MARCH 9, 2021



LEAVITT
PARTNERS



Welcome, Anti-trust Reminder, and Agenda



| Agenda | |
|--|-----------------|
| Welcome, Anti-Trust Reminder, and New Members Admitted <i>Dave Lee, Leavitt Partners</i> | 1:00pm – 1:05pm |
| Leadership Perspectives <i>Aneesh Chopra, Care Journey</i> | 1:05pm – 1:15pm |
| Patient and Caregiver Perspectives <i>Jennifer Blumenthal, OneRecord</i> | 1:15pm – 1:20pm |
| 'In Production' Updates <ul style="list-style-type: none"> • CARIN IG for Blue Button <ul style="list-style-type: none"> ○ In production examples <ul style="list-style-type: none"> ▪ Humana – Payer perspective ▪ Humetrix / iBlueButton – Application perspective • Digital Identity and Federation | 1:20pm – 2:00pm |
| Opportunities to Collaborate <i>Aneesh Chopra, Care Journey</i> | 2:00pm – 2:30pm |
| Break | 2:30pm – 2:40pm |
| 'In-Flight' Work Discussion <ul style="list-style-type: none"> • Dental/Vision updates to the CARIN IG for Blue Button • Digital membership ID card • Real time pharmacy benefit check • Application Registration guide • Patient workgroup • Vaccination Credential Initiative | 2:40pm – 3:55pm |
| Next Steps | 3:55pm – 4:00pm |
| Adjourn | 4:00pm |



Overall Commitment



Leavitt Partners, the CARIN Alliance, and individual coalition members are committed to full compliance with all applicable antitrust and related laws and obligations.

Leavitt Partners and Coalition members will avoid conduct and discussions that are contrary to antitrust obligations and good practices

Leavitt Partners and Coalition members will promptly raise either with the Coalition or internally within the entity (including with counsel for a member), any antitrust concerns

- These issues will be promptly addressed and resolved
- Remember, Leavitt Partners does not provide legal advice

On-going and Demonstrated Compliance



The Coalition, its members and LP are committed to ensuring compliance with all applicable antitrust laws and requirements.

Antitrust compliance discussion at coalition formation

Annual detailed antitrust reminder

Periodic reminders during the year

- Reminders at start of conference calls
- Tents at meetings

Reminder to raise questions and seek counsel

- Remember, Leavitt Partners does not provide legal advice



Scope of Antitrust and Related Legal Structures



Antitrust laws are federal

- Address anticompetitive behavior – whether successful or not
- Covers mergers and acquisitions

Relevant federal oversight mechanisms

- Department of Justice
- Federal Trade Commission
- Interagency cooperation
- Merger and acquisition oversight

Similar state laws

- Antitrust actions can originate at the state level

Private causes of action

Often any antitrust action includes federal enforcement, state enforcement and private actions



Compliance Requirements – Price and Costs



The Coalition and its members will avoid formal or informal discussions or actions (including “hallway” conversations) relating to any aspect of pricing or confidential cost information.

- Pricing and cost information includes (but is not limited to):
 - Pricing plans (including future pricing plans or ideas)
 - Confidential current or past pricing information
 - Non-public bids or offers or future plans for bids or offers
 - Suggested pricing
 - Ways to modify or increase price
 - Confidential cost information



Compliance Requirements – Market Allocations



The Coalition and its members will avoid formal or informal discussions or actions (including “hallway” conversations) relating to any aspect of market allocations.

Market allocations includes (but is not limited to):

- Direct or indirect market allocations
- Customer allocations
- Geographic allocations
- Product segment allocations
- Discussions of entering or exiting markets
- Non-public merger, acquisition or divestiture plans



The Coalition and its members will avoid formal or informal discussions or actions (including “hallway” conversations) relating to any aspect of a potential group boycott.

Potential group boycott includes (but is not limited to):

- Boycotting a customer or a supplier
- Boycotting selected markets
- Creating anti-competitive market barriers
- Creating anti-competitive rules, standards, or certifications
- Discriminating against some competitors for anti-competitive reasons
- Creating, enforcing or participating in anti-competitive agreements



Compliance Requirements – Standard Setting Activities



If the Coalition and its members engage in formal or informal standard setting activities, the Coalition will abide by all antitrust requirements in so doing.

- Standards can be:
 - Technical, process or policy centric
 - Formal or informal
- Standards cannot discriminate or be anticompetitive
- Open, inclusive processes needed
- Intellectual property questions may need to be addressed
- Standard setting activities can be performed legally



Members and the Coalition shall not solicit, discuss, collect or use confidential company business information.

This protected information includes (but is not limited to) non-public:

- Product development plans
- Product specific regulatory strategies or timelines
- Production plans or limits
- Merger or acquisition plans
- Non-public financial performance or projections
- Marketing or sales plans

Note: Certain limited types of data collection (including de-identified data) may be permissible.



Additional Information



Key protections do exist for certain activities.

As a reminder, the Noerr-Pennington Doctrine protects certain advocacy work directed towards Congress and government agencies

- This is an important protection but there are important limitations
- Can't be a sham
- Doesn't protect non-advocacy activities



Antitrust Compliance Processes



Keys for the Anti-Trust Compliance Process

Understand your obligations

- Federal, state, and private obligations and enforcement

Avoid formal or informal risky discussions or conduct

Immediately raise any issues or concerns

Consult with and rely upon legal counsel for your organization

- Remember, Leavitt Partners does not provide legal advice
- If activities or communications of the coalition give rise to concerns, you are encouraged to contact Leavitt Partners



**Causeway for the
CARIN Alliance**

Jourdan Laures



Getting Started in Causeway



Registration

Some Causeway customers enable self-registration for association members and staff. If your organization has enabled self-registration, you can create your own account by navigating to your Causeway address (<https://alliances.leavittpartners.com/wg/CARINCommunity/workgroup/home>) and clicking the "Register Now" button in the New User area.

New User?

If your company is a member of Causeway Dev, you can use this form to get a username and password to gain access to the Members Area.

[Register now!](#)

On the registration page, **fill in** the Basic Information and Contact Information sections, **optionally select** Mailing List Subscriptions, and **configure** your default email delivery settings. Then **enter** the verification code and click Register.

Your self-registration will automatically be approved if your email domain matches one on the approved email domain list that is managed by your organization administrator. If your domain is not on the approved list, your registration information will be sent to a moderator for approval.

⚙️ Your account must be verified by an administrator

You have created your account successfully, but you cannot log in yet.

Your registration has been submitted to the moderators for approval. You will be notified by email when your registration is approved.

[Return to the Login Page](#)

Once your account has been created, you will receive a Welcome email containing your temporary password. You can access your association's login page by clicking the link from within that email or simply typing your association's Causeway account in your browser's address bar (<https://alliances.leavittpartners.com/wg/CARINCommunity/workgroup/home>).



Workgroups



Joining a Workgroup

To join a workgroup, **click My Profile** at the top and **select Your Workgroup Memberships** or click the Workgroup selection tool, **scroll to the bottom** of the pulldown menu, and **click Join a Group**. (You can also access the Workgroup Membership page by clicking the View Groups button on the right side of the Causeway Home area.)

Within the Workgroup Memberships page, you will be presented with a list of your organization's visible workgroups. From this page, you may join or leave workgroups, or adjust your settings for each workgroup including email delivery and voting rights. Workgroups can be (1) open, which allows any user to join; (2) restricted, which will require you to place a join request and receive approval from a designated workgroup administrator; or (3) hidden, in which case the workgroup(s) will not be listed and the only way to gain entry is by being invited by a designated workgroup administrator.

If you wish to leave a group, simply click the "Leave" button next to each group.

| Workgroup | Chair | Status |
|-----------------------|---|---|
| Academic Standards | James Gray VTM | You are a member of this workgroup. [Leave] [Edit Settings] |
| Board of Directors | David Benson Design Integrators, LLC | You are a member of this workgroup. [Leave] [Edit Settings] |
| Steering Committee | James Gray VTM | You are a member of this workgroup. [Leave] [Edit Settings] |
| Council of Trustees | | You need moderator approval to join this workgroup. [Request Access] |
| Board of Regents | James Gray VTM | You are a member of this workgroup. [Leave] [Edit Settings] |
| Engineering Workgroup | | You are a member of this workgroup. [Leave] [Edit Settings] |

To manage your email subscription for each group, click the "Edit Settings" button.

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Welcome, Travis | My Profile | Public Site | Adm

Home

Select a Group

- Home
- Board of Directors
- Steering Committee
- Engineering Workgroup
- Marketing & Sponsorship Workgroup
- PR Taskforce
- PR - Legal SC
- Officers
- Quality Assurance
- Research Workgroup
- Technical Workgroup
- Hardware Certification Committee
- Software Certification Committee

Join a Group

View Groups

View Mailing Lists

View Your Tasks



Calendar



You can view your workgroup meetings by visiting the Calendar in Causeway. Add workgroup calendars to your calendar by **Subscribing to Calendars**

Options

Add Event

Time Zone
Your time zone: America/New_York
[change time zone]

Show in my time zone

Jump to:

[Go to Today](#)

[Subscribe to Calendar](#)

Workgroups

- All
- Leavitt Partners Alliances
- CARIN Community
- CARINCommunity > CARIN App Implementer Tiger Team
- CARINCommunity > CARIN Board
- CARINCommunity > CARIN Digital Identity Tiger Team
- CARINCommunity > CARIN Health Plan IG Workgroup
- CARINCommunity > CARIN ID & Authentication
- CARINCommunity > CARIN Patient and Carepartner Workgroup
- CARINCommunity > CARIN Payer Implementer Tiger Team
- CARINCommunity > CARIN Policy Workgroup
- CARINCommunity > CARIN Real Time Pharmacy Benefit Check IG Workgroup
- CARINCommunity > CARIN Trust Framework Workgroup

CARIN Policy Workgroup Calendar

< Feb 2021 **March 2021** Apr 2021 >

| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|---------------|--------------|--|-----------|--------------|--|--------------|
| Feb 28 Add | Mar 1 Add | 2 Add | 3 Add | 4 Add | 5 Add CARIN Policy WG Call 12:00 PM EST | 6 Add |
| 7 Add | 8 Add | 9 Add CARIN Q1 Community Meeting 1:00 PM EST CARIN Community > CARINPolicy | 10 Add | 11 Add | 12 Add CARIN Policy WG Call 12:00 PM EST | 13 Add |
| 14 Add | 15 Add | 16 Add | 17 Add | 18 Add | 19 Add | 20 Add |
| 21 Add | 22 Add | 23 Add | 24 Add | 25 Add | 26 Add | 27 Add |
| 28 Add | 29 Add | 30 Add | 31 Add | Apr 1 Add | Apr 2 Add CARIN Policy WG Call 12:00 PM EDT | Apr 3 Add |

All times shown are in America/New_York

Monthly | Monthly (List) | Weekly | Daily

Below the calendar are links which allow you to change views, between monthly, monthly (agenda), weekly, and daily.



**Patient and
Caregiver
Perspective**

**Jennifer
Blumenthal**



**Topic #1:
In Production
Updates**



CARIN IG for Blue Button®



We are live!!!

Humana: <https://developers.humana.com/explanation-of-benefits-api>

Aetna: <https://developerportal.aetna.com/>

Next Connectathon:

April 14-15, 2021

Demonstration:

Server: Humana

Client: Humetrix / iBlueButton



Digital ID and Federation



Progress over the last 90 days

Individual Identity:

[Digital Identity and Federation White Paper](#)

[Login.gov opportunity for state governments](#)

Organizational Identity:

[The Global Legal Entity Identifier \(LEI\) foundation](#)

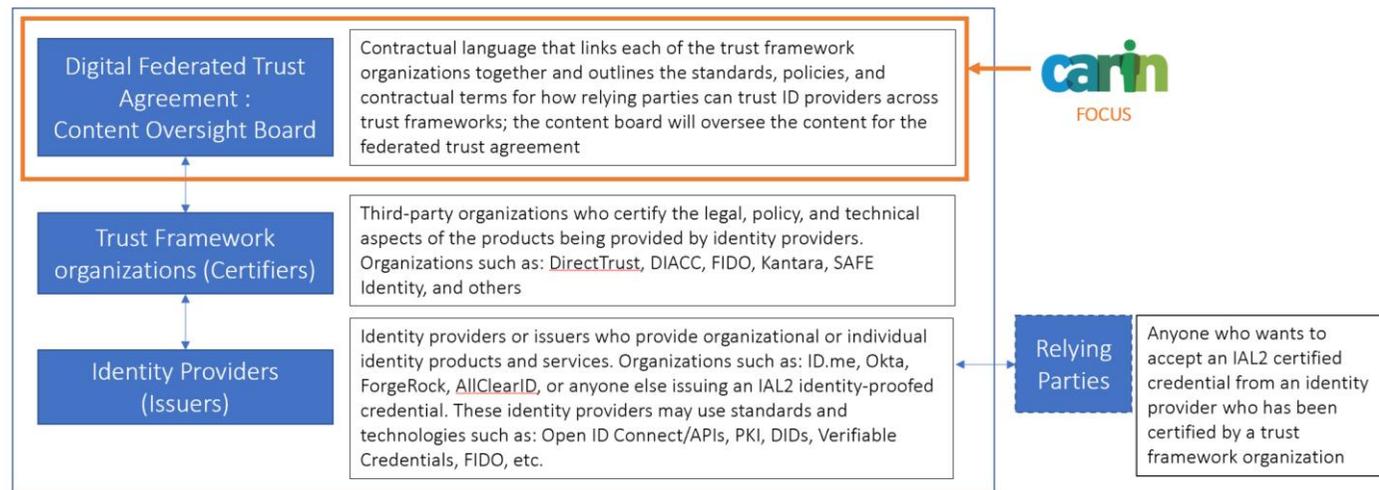
[CARIN HL7 presentation on Attestation, Identity, and Trust](#)

April 29th OpenID Foundation // CARIN Alliance Virtual Workshop:

[OpenID Foundation Blog Post](#)

[Eventbrite registration](#)

Trusted Federated Identity Ecosystem





Leadership Perspective

Aneesh Chopra



Where do we go from here?



Information Blocking (IB) Deadline : April 5, 2021 (27 days away)

- What are we doing to prepare?
- ONC FAQ : Does the IB rule apply to Business Associates of a Covered Entity?
 - In some instances, a business associate will be an actor under the information blocking regulation in 45 CFR part 171 and in other situations, it may not be an actor. The information blocking regulations in 45 CFR part 171 apply to health care providers, health IT developers of certified health IT, and health information networks (HIN) and health information exchanges (HIE), as each is defined in 45 CFR 171.102. Any individual or entity that meets one of these definitions is an “actor” and subject to the information blocking regulation in 45 CFR part 171, regardless of whether they are also a HIPAA covered entity (CE) or business associate (BA).
- Open Notes?

Payers and CMS rule 9123

- It's not finalized, now what? How can we build industry consensus on those topics?
- For example, unstructured data for payer-to-payer data exchange
 - How do we share between payers? Is eHI a path forward?



BREAK



Topic #2

In-Flight Updates



CARIN IG for Blue Button® : Dental / Vision Updates



Background: CMS final interoperability rule (9115-F) requires that dental and vision claims are included in the Patient Access API. CMS clarified in Oct 2020, that a payer will comply with the final rule if they implement the current published version of the CARIN IG for Blue Button which does not include dental / vision. CMS also clarified by email that dental / vision will only be required once they are included in a published HL7 STU2 version (or later).

Progress / timing: CARIN has been working with payers to understand the timing for balloting STU2 which will include dental / vision. Current ballot timeline is September 2021.

Working meetings: Dial-in number for the Thursday 11am ET meeting and the latest updates can be found here: <https://confluence.hl7.org/pages/viewpage.action?pageId=104570>. Current ***in process draft (not final)*** STU2 version with proposed dental/vision profiles: <https://build.fhir.org/ig/HL7/carin-bb/branches/stu2.1/>

Questions?: Please contact Mark Roberts (mark.roberts@leavittpartners.com)

April 14-15 Connectathon Sign-up: <http://bit.ly/CARINSignUp> **Track page:** <http://bit.ly/TrackPageCARIN>

CARIN IG for Digital Membership ID Card



Background: Project initiated and being driven by the CARIN payer members to display rendering of member's payer ID card

Progress / timing: Project Scope statement can be [viewed here](#). High-level draft of the core attributes and mappings available for review during the April CARIN Connectathon and May HL7 Connectathon

Working meetings: We plan to hold working IG meetings for all CARIN and HL7 members in the May/June timeframe

Questions?: Please contact Mark Roberts (mark.roberts@leavittpartners.com) or Adam Culbertson (aculbertson1@humana.com)



CARIN IG for Consumer-facing Real-time Pharmacy Benefit Check



Background: CARIN members worked with HL7 and NCPDP to create an IG for individual members to know their formulary and benefit information, out of pocket costs, therapeutic alternatives, and cash price options.

STU1 Publication: The CARIN IG for consumer-facing real-time pharmacy benefit check was published in August 2020 (<https://build.fhir.org/ig/HL7/carin-rtpbc/index.html>)

Next Steps: We are in contact with the 5 major PBMs. Many are developing their FHIR infrastructures in conjunction with their payer partners for 7/1. We anticipate we can begin robust testing with the PBMs in Q3/Q4 of 2021

Questions?: Please contact Dave Lee (david.lee@leavittpartners.com) for more information



Vaccination Credential Initiative



Background:

The primary aim of VCI is to enable individuals to access a trustworthy and verifiable copy of their vaccination records in digital or paper form. To achieve this purpose, participating organizations commit to implementing, testing, and refining the SMART Health Cards Framework within their sphere of influence and will also commit to providing vaccination records directly to citizens if such records are in their stewardship. They may also be called upon to assist in other ways as necessary to ensure the success of the initiative.

VCI Steering Committee:

MITRE, The Commons Project Foundation, Mayo Clinic, Microsoft, Evernorth, CARIN Alliance

Governor Leavitt's February 3rd House E&C Health Subcommittee [testimony](#)

Important links: [SMART HealthCards framework](#) | [GitHub](#) | [Draft FHIR IG](#)

How to get involved: <https://vaccinationcredential.org/join-us>



Patient and Care Partner Workgroup



Background: The Patients and Care Partners Workgroup is being created to ensure inclusion of the patient voice and to make recommendations to the CARIN Board. The workgroup will focus on meeting the following objectives:

- Share patient stories: Patient stories are an effective way to educate and drive change
- Learn about the CARIN Alliance priorities and how they impact the patient and care partner communities
- Provide input on the CARIN Alliance priorities for 2021
- Help establish the agenda for the broader CARIN Community Meetings from the perspective of patients and care partners

Next steps: First call will take place later this month. If you have any recommended participants email co-chair Morgan Gleason (morgan.gleason@icanbwell.com) or Dave Lee (david.lee@leavittpartners.com)



Application Registration Guide



Background:

The application registration process is critical to ensuring individuals can have seamless access to their health information across systems. Payers are more inclined to develop and launch a streamlined process for application registration. How do we develop a set of best practices for both payers and providers?

Purpose: To achieve these outcomes, open data must be accompanied by trust, to prevent unauthorized uses or disclosures of HIPAA protected health data. Data holders and implementers must not only set-up open APIs, but they must also exercise diligence when implementing app registration workflows and standards to obtain reasonable assurances that:

1. Requestors are who they say they are,
2. Requestors are authorized by end users to access the data they request,
3. Data connectors are who they say they are, and
4. Requests made through apps have authorized the data connector to access the data they request

Next Steps:

We will engage in a survey of current practices for the following key questions:

1. How does an application connect to the payer/provider systems today? What are the best practices in production?
2. What does the patient/member see? What disclosures are provided and from whom?
3. How does the patient/member revoke consent and access (from both the app and the payer/provider)?



Smart on Value

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